



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): ANTHONY BALLOUTINE

Serial No.: 10/766,196

Filed: January 29, 2004

Title: METHOD AND APPARATUS FOR  
PROVIDING A CARD WITH  
PENMANSHIP IMPROVING INDICIA

Attorney Docket No.: 390.0002

Group Art Unit: Not yet assigned

Examiner: Not yet assigned

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of  
international application; or before mailing date of first office action on the  
merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Certification under 37 CFR 1.97(e), or  
☐ a \$210.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of  
allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Certification under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2)(ii), and  
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  
(Filed after final action or notice of allowance, whichever occurs first,  
but before payment of the issue fee)

Applicant submits herewith Form PTO 1449-Information Disclosure Citation with  
copies of patents, publications or other information of which Applicant is aware, which  
Applicant believes may be material to the examination of this application and for which  
there may be a duty to disclose in accordance with 37 CFR 1.56.

Neither this statement nor the listing of these documents on the accompanying form PTO-1449 should be considered as an admission by Applicant that these materials are prior art as to Applicant. In addition, this statement should not be construed as a representation that no better disclosures exist.

Entry of the Information Disclosure Statement and the accompanying Form PTO-1449 are respectfully solicited.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Reginald D. Lucas', is written over a horizontal line.

Reginald D. Lucas

Reg. No. 46883

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